

In the United States Patent and Trademark Office

Serial No.:	10/780,313	Docket No.:	17209-452
Filed:	February 17, 2004	Conf.No.:	1068
Applicant(s):	Daniel Opperman, et al.	Examiner:	Hatem M. Ali
For:	Methods and Apparatus for Facilitating Financial Instrument Trading Orders		

Mailstop RCE
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

AMENDMENT/RESPONSE ACCOMPANYING RCE, 37 CFR §1.114

Sir:

Further to the Notice of Appeal filed February 3, 2011 and further to the Final Office Action dated August 3, 2010 (hereinafter "Office Action"), in view of the concurrently filed request for Request Continued Examination ("RCE"), and in view of the following amendments and/or remarks, Daniel Opperman and/or Attorney(s) for applicant(s) (hereinafter "Applicant") request entry thereof and reconsideration of the above-referenced application.

Amendments to the Claims are reflected in the listing of claims begin on page 2.

Remarks begin on page 12 of this paper.

35 USC § 103 remarks begin on page 12 of this paper.